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In re the Application of: KURAMATA, et al.

Group Art Unit: 2823

Serial No.: 09/313,764

Examiner: Coleman

Filed: May 18, 1999

Confirmation No.: 4289

For: OPTICAL SEMICONDUCTOR DEVICE HAVING AN EPITAXIAL LAYER OF III-V COMPOUND SEMICONDUCTOR MATERIAL CONTAINING N AS A GROUP V ELEMENT

Attorney Docket No.: 99052'
Customer Number: 38834

REQUEST FOR STATUS

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 February February

February 6, 2004

Sir:

The undersigned attorney requests the status of the above-identified application.

A Request for Withdrawal of Holding of Abandonment was filed on <u>February 5, 2003</u> in the U.S. Patent and Trademark Office. A copy of this paper and date-stamped postcard are attached. To date, no Office Action has been received by Applicant. Applicant respectfully requests the status of the referenced application.

In the event any fees are required in connection with this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

Attorney for Applicants
Registration No. 32,878

SGA/arf

Enclosures:

Request for Withdrawal of Holding Abandonment and Postcard

1250 Connecticut Avenue, NW Suite 700 Washington, D.C. 20036 (202) 822-1100 FEB O 6 2004 E

In re the Application of: KURAMATA, et al.

Serial No.: 09/313,764

Group Art Unit: 2823

Filed: May 18, 1999

Examiner: COLEMAN

FOR: OPTICAL SEMICONDUCTOR DEVICE HAVING AN EPITAXIAL LAYER OF

III-V COMPOUND SEMICONDUCTOR MATERIAL CONTAINING N AS A

GROUP V ELEMENT

P.T.O. Confirmation No.: 4289

REQUEST FOR WITHDRAWAL OF HOLDING OF ABANDONMENT

Commissioner for Patents Washington, D.C. 20231

FEB 20 2004

Date: February 5, 2003

Sir:

Applicants respectfully request the Commissioner to withdraw the holding of abandonment set forth in the Notice of Abandonment mailed January 27, 2003.

The Notice of Abandonment indicates that an Office Action was mailed May 21, 2002. Applicants' representatives did not receive a copy of the Office letter. Thus, a response would have been due August 21, 2002. As can be seen from the attached docket for August 21, 2002, no due date for this application appears.

In addition, the attached copy of our PTO log book for the period from May 20, 2002 to May 31, 2002, shows that the Office Action was not received.

Since applicants did not receive the Office letter, it is believed that a petition and fee are not required. However, if a fee is considered to be required in connection with the present petition, please charge such fee to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

Stephen G. Adrian Attorney for Applicants Reg. No. 32,878

SGA/arf

Attachments: Copy: Notice of Abandonment mailed January 27, 2003

Computer Docket Our PTO Log Book

Atty. Docket No. **990527** Suite 1000, 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930 23850

PATENT TRADEMARK OFFICE

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| 001683 | 09 746 335 | we | 2rd OA-F | | 5-28-02 |
| 001008 | 09/639/811 | MRQ | 14 OA | | 5-29-02 |
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| 010254 | 09 816 400 | web | NOA a Publater | | 5-28-02 |
| 001483 | 09/712,906 | mea | | | 5-29-02 |
| 500888 | 09/414/255 | web | " DRWGS | | 5-29-02 |
| 010561 | 09 838, 120 | MNL | " e Rubla Cee | | 5-29-02 |
| 600224 | 09 531, 683 | TEB | и | | 5-29-02 |
| 000224 | 09 531,683 | TUB | Supplin NOA | | 5-24-02 |
| 990225 | 09 288,781 | SUA | NOA a Rubeln Rec | ļ | 5-28-02 |
| 990882 | 09 342,111 | NEB | " Dewos | | 5-24-02 |
| 970203 | 08/819 630 | NES | Decision on Appeal | NC | 5-29-02 |
| 970708 | 08/913,187 | Devil. | order remarding to | NE | 5-24-02 |
| 020328 | 10/09/6 57/4 | MS | Election (no.) | NE | 5-28-02 |
| 020327 | 10/096,941 | WR | u u | NE | 5-28-02 |
| 990718 | 09/342,054 | SUM | Adu. Actor | NE | 5-24-02 |
| 990811 A | 09 748, 287 | JPK | Re: IDS - considered | NF. | 5-29-02 |
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| 010699 | 09 901,548 | MRQ | Supply NOA (1449) | NF | 5-29-02 |
| 010448 | 09/820,929 | HUNG | " (1449 Perhant) | ne | 5-25-02 |
| 011582 | 76 351,675 | MZM | Cord FR | · | |
| 001653 | 76/191,256 | DEVIT | Dut. Palla ce/18/02 | re | 5-24-02 |
| 01210 | 76/135,654 | NES | a t _l t _l | " | Ц |
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May 30, 2002

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| = | 220100 | 09 756,653 | mea | 2~2 OA-F | | 5-24-02 |
| * | 001700 | 101746,065 | ms | 154 DA | | 5-28-02 |
| | 501369A | 09/712,164 | DAG | Adv. Achon | | 5-24-02 |
| | 990813B | 09 873,453 | JPL | Supplier, NICA (Prty Adud) | | 5-28-02 |
| | 930309 | 09/040, 409 | Duil | | ve | 5-28-02 |
| | CPHOSO | 10/125,390 | ms | Nut. File Courin PR 4003 | nc. | 5-28-02 |
| | 020307 | 10/088,107 | es | Int' FR 3-22-02 e FR 5051 | nc | 5-22-02 |
| | 020527 | 04 929,063 | KH | Notice Re: PDA - Accept. | 15 | 5-24-02 |
| | 001004 | 719/147,702 | Devi 17 | Exer's Andt. | NE | 5-24-02 |
| | 215010 | 04 743, 695 | KHS | NOA a Publin Fee a NAWGS | | 5-28-02 |
| - | 001342 | 04/685,556 | JUE | 11 0114 | | 5-28-02 |
| - | 010035 | 09 765,435 | JPC | 11 e Dalotos Pec - DALVES | | 5-28-02 |
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| 010584 | 09/812,951 | ms | 1ct DA | | 5-22-02 |
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| 001549 | 09/717,134 | mem | NOA , PTA | NE | 5-16-02 |
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May 28, 2002 o

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| 011634 | 09/719,965 | cs | ICt OA | <u> </u> | |
| 010333 | 09/815,012 | KHS | 2ra 04-E | | 5-22-02 |
| 90911 | (375 SZI | ms | IST DA | | 5-23-02 |
| 010629 | 00/855,515 | web | 2m 0A-F | | 5-22-02 |
| 455010 | 09/800,514 | ms | 154 DA | | 5-23-02 |
| 010270 | 09/814,099 | ms | u u | | 5-22-02 |
| 020103 | 09/229,892 | WAY | rt II | | 5-23-02 |
| 2010498 | 00/832,344 | SGA | u u | | 5-22-02 |
| 990932 | 09 376, 482 | JUE | 2rd OA-F | | 5-23-02 |
| 490507 | 09 313,784 | ms | 154 OA | | 5-22-02 |
| 010216 | 02/202/180 | ms | u u : | | 5-24-02 |
| 990135A | 09/989,1860 | DAG | ll I _E | <u> </u> | 5-24-02 |
| 000113A | 09/9/40,956 | SUA | 2rd 04-C | ļ | 5-24-02 |
| 981259 | 09/195,701 | JFC | 154 DA | ļ | 5-24-02 |
| 981113A | 10,040,434 | 240 | п | NE | 5-21-02 |
| 010052 | 09 760,601 | ms | н ч | ļ | 5-23-02 |
| 011405 | 09/926,662 | wba | 11 11 | | 5-24-02 |
| 000582 | Ca 565, 189 | DAG | 200 DA-F | | 5-23-02 |
| 990900 | 09/397,504 | 2EC | 4th OA | ļ | 5-23-02 |
| 991172 | 09 436, 496 | ms. | 2nd OA-F | ļ | 5-22-02 |
| 001152 | 09/655,280 | (WPW) | Supplin NOA - Prty Advid. | | 5-23-02 |
| 990061 | 09/236,091 | SUA | Dry Achnut. | | 5-24-02 |
| 211196 | (9955, 33) | 1 1 | Supple NOA - 1449 | | 5-24-02 |
| 930908 | 08/174,686 | ws | Not Abardut. | | 5-23-02 |
| 085010 | ca 881,783 | w B | Prty Dehaut. | | 5-22-02 |
| 971268 | C8 952, 222 | JPC | le: Con'n of Assignee | NC | 5-22-02 |
| <u> 107110</u> | 09/926,771 | 05/ SGA | le: 35 WC 371 date: 12/19/01 | | 5-23-02 |
| 610141 | 09 783,994 | MS | Quayle Action | | 5-23-02 |
| 011035 | 00/940,456 | MS | 11 11 | | 5-23-02 |
| 2108910 | ca 901,572 | رح | Amino Acid (2mo.) 4818. | ME | 5-22-02 |
| 020312 | 10/088,094 | ms | NOME 7145 | NF | 5-22-02 |
| 020284 | 10/049,956 | <u>cs</u> | Int'l FR 3/7/02 & FR 4541 | WE | 5-21-02 |
| 001631 | 04/743,468 | DAG | NOA — | | 5-22-02 |
| 991486A | Ca/664,576 | SUA | " a Supp NOAII. | | 5-23-02 |
| 000312 | 09/526,721 | wer | 11 | | 5-22-02 |
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May 28, 2002 (cont.)

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May 24, 2002 ~

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| 001198 | 09/742,067 | KHS | 2nd 0A-F | | 5-22-02 |
| 011261 | 09/968,803 | ms | let DA | | 5-22-02 |
| 990364 | 022,085/00 | WSB | ıl 11 | | 5-21-02 |
| 010527 | 04/834,107 | cs | n y | | 5-23-02 |
| 011288 | ra 995, 580 | ms | u u | <u> </u> | 5-22-02 |
| 990509 | 09/311,122 | JLE | Jul 04-E | | 5-22-02 |
| 490237C | 09/819,817 | NES | 1st DA | | 5-22-02 |
| 2009 | 19515,515 | TEB | 1ct 0A | | 5-22-02 |
| 001271 | 09/647,169 | TEB | Ind OA-F | NF | 5-21-02 |
| 981498 | 09/217,200 | DWH | 3rd A | LF. | 5-21-02 |
| 0000483 | 09 594,577 | <u>cs</u> | 1ct of | <u> </u> | 5-21-02 |
| 9605XC. | 08 929, 253 | SUA | н и | | 5-22-02 |
| 991403 | 09 456, 530 | 105B | 3rd OA-F | ļ | 5-21-02 |
| 991183 | 09 427,693 | Sx | LCF OA | | 5-22-02 |
| 010817. | 09 899, 269 | MS | u u | | 5-22-02 |
| 001548 | ra 716, 200e | SGA | (1 (| ļ | 5-21-02 |
| 981232 | 09/11/16/911 | ns | u u | ļ | 5-21-02 |
| 001751 | 79 135,297 | ms | Quayle | ļ | 5-21-02 |
| A 828010 | 10/13/59/ | DAG | NOMP e FR 9629 | 15 | 5-21-02 |
| 490249 | 09/264/672 | TEB | lest lacque (no.) | NE | 5-21-02 |
| 99086 | 09 342,104 | DAG | Adv. Achon | | 5-21-02 |
| 981442 | 09/206,319 | JPC | Not. Abarchet. | NE | 5-21-02 |
| 9704470 | 09/853,631 | TEB | Re: lefund | NE | 5-21-02 |
| 991185 | 03/450,000 | TEB | le: 312 Andt Ent. | | 5-21-02 |
| 990373 | 75/723,795 | DWH | Not. Publos - 6/11/02- | NE. | 5-22-02 |
| 010800 | 09/909,799 | web | NOA, Publisce Druss | | 5-22-02 |
| 202747 | 09/597,252 | DAG | NOA PTA 23 Days | | 5-21-02 |
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| 000743 | 09/609/649 | NSB | 11 | | 5-21-02 |
| 990451 | 09 296,550 | SUA | 4 | | |
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May 23, 2002

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| | OHD23 | 09 930, 151 | ms | 1st OA | | 5-20-02 |
| - | 991040 | 09/393,687 | DSB | 2nd OA-F | | 5-51-05 |
| - | C1P100 | 04/643,847 | NSB | a a a | NS. | 5-21-02 |
| | 0[F100 | 09/15,093 | mea | NOA | | 5-21-02 |
| - | 000035 | 09 576,230 | NSB | и | | 5-21-02 |
| - | P50208 | 10/120, 544 | cs | Nutice to Compley witing | LE | 5-20-02 |
| - | <u>201237</u> | Da 215, 694 | mea | NOA | ļ | 5-20-02 |
| - | 90340 | 09 274,764 | NES | " e DAWGS | | 5-20-02 |
| - | ∞1735 | 09/748,014 | wb | " a Dubbn Fee | | 5-21-02 |
| - | 000963 | 09 635,918 | we | " e Devocs | ļ | 5-20-02 |
| - | 9911283 | 10 053,890 | SOA | Rect. Regnet. (mo.) | | 5-21-02 |
| - | 010323 | 09/804,222 | ws | " " a Electron (no.) | <u> </u> | 5-20-02 |
| - | OICOA I | 09/775,552 | ms | Election (mo.) | <u> </u> | 5-20-02 |
| - | 000364. | 09 531,891 | NES | Adv. Action | | 5-21-02 |
| ŀ | 01690 | 09 742,280 | ms | Rect. Rognet. (mo.) | ļ | 5-21-02 |
| F | 000782 | 09/606,009 | ms | Status: Expect OA:>15mo | NE | 5-20-02 |
| ŀ | 242000 | 09/147,884 | ms | " ": 3mo | NE | 5-20-02 |
| 1 | 301112 | 09/450,437 | MS | " " : > ISmo | 15 | 5-20-02 |
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| L | 012020 | 76/374,654 | MSA | Rejection | 10 | 5-20-02 |
| Ľ | 18067Le | CRO, 440 PA | SUA | NOA - Purh lee / PTA | | 5-21-02 |
| L | 010550 | 09/835,628 | TEB | ц | | 5-21-02 |
| | 201513 | 09/729,688 | aza | " " e DRUGS | | 5-20-02 |
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| | 020129 | 10 084,919 | ms | a A | AF | 5-17-02 |
| | 9807534 | 09 572, 253 | web | 312 Amolt Entered. | | 5-17-02 |
| | 000199 | 09/508,744 | Deert | at at at | 15 | 5-20-02 |
| | 990816 | CE 808 488 | JPR | Proby Acknown. | 15 | 5-9-02 |
| | P25000 | 09/486,979 | JPC | ist. Abundut. | 4 | 5-17-02 |
| | 991473 | 04/405,624 | wew | 312 Andt. Entered | | 5-20-02 |
| | 050500 | 09 526,745 | Durt | Not. De: POA: Accepted | 15 | 5-15-02 |
| | 010966 | 09/940,787 | DeepH | I mo Response | ļ | 5-20-02 |
| | 201473 | 1508, 660 | MNU | I mo lesponse | | 5-17-02 |
| | 220465 | 10/155/144 | <u>cs</u> | NOMP & PR 3959 | NE | 5-20-02 |
| ŀ | 011625 | 10/014, 407 | mea | Rest. Regnt. (30 days) | | 5-20-02 |
| - | 991026 | 09 380, 500 | DAG | Adv. Action | | 5-17-02 |
| - | CO1207. | D4 730,744 | DAG | Rost. Rosput. | AC. | 5-20-02 |
| ŀ | 178 BOWD | 08/753,598 | SUA | Notice of Arg. | NS | 5-16-02 |
| - | 94104AP | 08/396,589 | SCA | Dicision on App. : Exur Dw. | ΛC | 5-17-02 |
| - | 175010 | 76 257,443 | DENH | Not. Abandut. | | 5-20-02 |
| ŀ | POHOS P | 09 045,115 | TED | ATG & AGA | NE | 5-20-02 |
| + | 200018 | 09 570,437 | TEB | 11 | vc | 5-16-02 |
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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 09/313,764 | 05/18/1999 | AKITO KURAMATA | 990527 | 4289 |
| 23850 75 ARMSTRONO 1725 K STREE' SUITE 1000 | G,WESTERMAN | % HATTORI, LLP | COLEMAN, V | |
| WASHINGTON | N, DC 20006 | DECEIVE DI JAN 3 0 2003 | ART UNIT 2823 DATE MAILED: 01/27/2003 | PAPER NUMBER |
| | , | ARMSTRONG, WESTERMAN, HATTORI, | perition (February | (allup 07. 2003 |

Please find below and/or attached an Office communication concerning this application or proceeding.

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| 01, - 30 | (38) | |
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| LEB O 6 300¢ | - 별 | |
| 1,50 | Republication No. | Applicant(s) |
| Notice of Abandonment RADEN | FEB 2 0 2004 | KURAMATA ET AL. |
| Notice of Abandonment | Examiner | Art Unit |
| · | W. David Coleman | 2823 |
| The MAILING DATE of this communication app | pears on the cover sheet with the co | orrespondence address |
| This application is abandoned in view of: | | |
| Applicant's failure to timely file a proper reply to the Office (a) A reply was received on (with a Certificate of I period for reply (including a total extension of time of (b) A proposed reply was received on, but it does | Mailing or Transmission dated) month(s)) which expired on | · · |
| (A proper reply under 37 CFR 1.113 to a final rejection | | • |
| application in condition for allowance; (2) a timely file Continued Examination (RCE) in compliance with 37 | d Notice of Appeal (with appeal fee); of | |
| (c) A reply was received on but it does not constit final rejection. See 37 CFR 1.85(a) and 1.111. (See | | mpt at a proper reply, to the non- |
| (d) 🛮 No reply has been received. | | |
| Applicant's failure to timely pay the required issue fee an from the mailing date of the Notice of Allowance (PTOL- | | the statutory period of three months |
| (a) The issue fee and publication fee, if applicable, wa), which is after the expiration of the statutory p Allowance (PTOL-85). | | |
| (b) The submitted fee of \$ is insufficient. A balance | e of \$ is due. | |
| The issue fee required by 37 CFR 1.18 is \$ | The publication fee, if required by 37 | CFR 1.18(d), is \$ |
| (c) The issue fee and publication fee, if applicable, has n | ot been received. | |
| Applicant's failure to timely file corrected drawings as req Allowability (PTO-37). | | |
| (a) ☐ Proposed corrected drawings were received on after the expiration of the period for reply. | _ (with a Certificate of Mailing or Trans | smission dated), which is |
| (b) No corrected drawings have been received. | | |
| The letter of express abandonment which is signed by th the applicants. | e attorney or agent of record, the assi | gnee of the entire interest, or all of |
| The letter of express abandonment which is signed by an 1.34(a)) upon the filing of a continuing application. | n attorney or agent (acting in a represe | entative capacity under 37 CFR |
| The decision by the Board of Patent Appeals and Interfer of the decision has expired and there are no allowed clair | | e the period for seeking court review |
| 7. The reason(s) below: | | |
| | Mu | it-bilal |
| | | W. David Coleman Examiner Art Unit: 2823 |
| Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdr minimize any negative effects on patent term. | raw the holding of abandonment under 37 (| |

| , | Application No. | Applicant(s) |
|---|--|-------------------------------|
| Interview Summary | 09/313,764 | KURAMATA ET AL. |
| , | Examiner | Art Unit |
| | W. David Coleman | 2823 |
| All participants (applicant, applicant's representative, PTO | personnel): | |
| (1) <u>W. David Coleman</u> . | (3) | |
| (2) Stephen G. Adrian 32,878. | (4) | |
| Date of Interview: 22 January 2003. | | |
| Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2 | ?)⊠ applicant's representative | e] |
| Exhibit shown or demonstration conducted: d) Yes If Yes, brief description: | e)⊠ No. | |
| Claim(s) discussed: <u>1-24</u> . | | |
| Identification of prior art discussed: None. | | |
| Agreement with respect to the claims f)⊠ was reached. | g) was not reached. h) |] N/A. |
| Substance of Interview including description of the general reached, or any other comments: The Examiner of Record of the present case. Applicants representative indicated the on May 21, 2002. At the present time the case is in the state (A fuller description, if necessary, and a copy of the amendral processory of the amendral processory of the amendral processory. | called Applicants representation to the has not received an Officitus as being abandoned. The ments which the examiner against the second control of the examiner against the ex | te Action which was mailed |
| allowable, if available, must be attached. Also, where no co allowable is available, a summary thereof must be attached. | opy of the amendments that wo .) | ould render the claims |
| i) It is not necessary for applicant to provide a sep checked). | parate record of the substance | of the interview(if box is |
| Unless the paragraph above has been checked, THE FORM MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. action has already been filed, APPLICANT IS GIVEN ONE INSTATEMENT OF THE SUBSTANCE OF THE INTERVIEW. reverse side or on attached sheet. | (See MPEP Section 713.04) MONTH FROM THIS INTERV | If a reply to the last Office |
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Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

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mmary of Record of Interview Requireme.

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case unless both applicant and examiner agree that the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded on the Form or in an attachment to the Form, the examiner should check the appropriate box at the bottom of the Form which informs the applicant that the submission of a separate record of the substance of the interview as a supplement to the Form is not required.

It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed.
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

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| 21-Aug-2002 Due Date | SEND LTR TO CLT Status: Pending | 13-Aug-2002 000935 ADVISORY ACTION Title: LITHIUM BATTERY | 3354 US SK A MATSUKAWA PATENT OFFICE | 630,657/09 | 01-Aug-2000 | The source |
| 21-Aug-2002 Due Date | SEND LTR TO CLT Status: Pending | 08-Aug-2002 001035 ADVISORY ACTION Title: PLANIPBIFFRACT ITSAPPLICATION | Sociation To an optical system 152,883/09 22-Aug-200 1596 US TEB FM 642,883/09 22-Aug-200 1596 | 642,883/09 IARK E NORMAL ROT | 18 | Sending today |
| 21-Aug-2002 Due Date | FINAL OA - 3 MO RESPONSE Status: Pending | 21-May-2002 001271 US-FINAL 3 MONTH Title: CONPROLLED MA | MONTH WATANABE & HOTTA Title: CONTROLLED MAGNETIC BEARING APPARATUS | 647,169/09 | 27-Sep-2000 | oder d |
| 21-Aug-2002 Due Date | APPEAL BRIEF DUE 21-Jun-2002 US APPEAL Status: Pending Remarks: | US APPEAL BRIEF Title: OPENABLE SEALED CONTAINER | 3271 US SGA N SHIMIZU & ASSOCIATES (TOKYO) 3D CONTAINER | 678,484/09 | 04-0ct-2000 | |
| 21-Aug-2002 Due pate | FINAL OA - 3 MO RESPONSE Status: Pending | 21-May-2002 001417 0624 US-FINAL 3 MONTH YUAS. Title: PLATE/HEAT EXCHANGER | VUASA AND HARA HANGER | 693,847/09 | 23-Oct-2000 | ck 8/21/c |

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| 21-Aug-2002 Due Date | 3 MO RESPONSE Status: Pending | 11-May-2007 JS-3 MO AG | 2 001548 H61 US SGA A 716,206 CTION OISHT PATENT OFFICE Title: PROCESS-FOR PRODUCING COATED EUNCTIONAL ARTICLES | SGA A DFFICE EUNCTIONAL A | 716,206/09 RTICLES | 21-Nov-2000 | \\ |
| 21-Aug-2002 Due Date | ISSUE FEE CALL-UP Status: Published Remarks: DUE 8-28-02 | 28-May-2007 US ALLOW | ANCE FUIMOTO PATENT OFNCE Title: DEVELOPMENT DEVICE AND DEVELOPMENT METHOD, AND IMAGE FORMING DEVICE | JFC A ENT OFNCE LOPMENT METH | 765,435/09 OD, AND IMAGE | 22-Jan-2001 | 1/5 |
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| 21-Aug-2002 Due Date | ISSUE FEE CALL-UP | 28-May-2002 US ALLOWANCE | 010254 | 0186 YAMAM | 0186 US WLB A 816,40 YAMAMOTO PATENT & ENGINEERING | 816,400/09 VGINEER ING | 26-Mar-2001 | |
| <i>)</i> | Status: Published | | ALATOR DEVIC | Е WITH BUI | Title: ISOLATOR DEVICE WITH BUILT-IN POWER AMPLIFIER | PLIFIER | · | ÷ ę |
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| Due Date/ Date Type | Reason for Date | Action Base Date Action Type | e/ Case Number | Client | Country Attorney(s) | Application Number | Filing Date | |
| 21-Aug-2002 Due Date | Information Disclosure Strunt Status: Published Remarks: WFW | 03-Apr-2002 Information I | O11577 Disclosure Stripe Title: MRTHOD AND A | 3701 MURAK. | S77 3701 US MS H 034,097/10 MURAKAMI PATENT OFFICE FHOD AND APPARATUS FOR CONTROLLING TOOL CHANGER | 034,097/10 OL CHANGER | 03-Jan-2002 | |
| 21-Aug-2002 Due Date | Foreign Filing Reminder 21-Feb-2002 011763 3235 US DAG N Foreign Filing Title: UMIQUE COMPOSITIONS HAVING UTILITY IN Remarks: APPLN FILED 2-21-02 - NOPRIORITY INFO; FOREIGN FILING DUE 2-21-03 | | 011763 e: LMIQUE COMPO PRIORITY INFO; F | 3235 ZEON CI SITIONS HAV | B ZEON CHEMICALS, L.P ZEON PAVING UTILITY IN RUBBER APPLICATIONS NOPRIORITY INFO; FOREIGN FILING DUE 2-21-03 | 078,470/10 3ER APPLICATIO | 21-Feb-20 | 20/02/8 |
| 21-Aug-2002 Due Date | Information Disclosure Strant Status: Pending Remarks: MRQ | 21-May-2002 Information I | Disclosure Strant FUJITSU TECHNO RESEAF Title: HEGH-FREQUENCY SEMICONDUCTOR DEVICE | FUJITSU CY SEMICON | 4283 US MS A FUJITSU TECHNO RESEARCH LTD EMICONDUCTOR DEVICE | 078,450/10 2 .TD. | 21-Feb-2002 | \ |
| 21-Aug-2002 Due Date | Information Disclosure Stmnt Status: Pending Remarks: DWH | 13-May-2002 020170 Information Disclosure Strunt Title: COMPOS | 020170 losure Strunt e: COMPOSITE DEV THE SAME | 3386 NISHIOK VICES OF LAI | Disclosure Strunt NISHIOKA & CO. (OSAKA) Title: COMPOSITE DEVICES OF LAMINATE TYPE AND PROCESSES FOR PRODUCING | 071,131/10 OCESSES FOR PH | 11-Feb-2002 | \ \ \i\ |
| 21-Aug-2002 Due Date | Information Disclosure Strunt Status: Pending Remarks: DWH | 28-May-2002 Information I | 020254 losure Strant e: SEMICONDUCT | 3806 KOKUBI | Osciosure String Nisclosure String KOKUBUN INT'L PATENTS & TMS Title: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF | 08\$/684/10 MS METHOD THER | 27-Feb-2002 Leof | ; ; |

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| 21-Aug-2002 Due Date | Information Disclosure Stmnt Status: Pending Remarks: SGA | 03-Jul-2002 Information | 020355 7880 US CS A 114,283/10 Disclosure-Sumit FUJIWARA PATENT OFFICE Title: VISCOSITY IMPROVER AND LUBE OIL CONTAINING THE SAME | 7880 FUJIWA OVER AND | 7880 US CS A FUJIWARA PATENT OFFICE ER AND LUBE OIL CONTAIN | TCE. | 114,283/10 THE SAME | 03-Apr-2002 |
| 21-Aug-2002 Due Date | Information Disclosure Strant Status: Pending Remarks: DWH | 03-Jul-2002 Information D | 020475 4383 US MS A 114,4, isclosure Strant FUJITSU TECHNO RESEARCH LTD. | 4383 FUJITSU AUFACTORI | 4383 US MS A 1 FUJITSU TECHNO RESEARCH LTD. ACTURING SEMICOMDUCTOR DEV | ARCH LTI JCTOR DE | 114,452/10 J. | 03-Apr-2002 |
| 21-Aug-2002 Due Date | Information Disclosure Strunt Status: Pending Remarks: SGA | 24-Jul-2002 Information | 020563 0328 US Disclosure Strant OY JALO ANT- Title: INSOLATOR ELECTRODE DEVICES | OY JALC | OY JALO ANT-WUORINEN AB. ODE DEVICES | EN AB | 128,571/10 | 24-Apr-2002 |
| 21-Aug-2002 Due Rate | Information Disclosure Strant Status: Pending Remarks: DWH | 14-Aug-2002 Information | Disclosure Strant KYOWEY INT'L Title: DRUM CLEANER WITH SHOCK ABSORBER | 3132 US KYOWEY INTL WITH SHOCK ABSO | US CS A Y INTL X ABSORBER | | 143,940/10 / Wa | 14-May-2002 |
| 21-Aug-2002 Due Date | Information Disclosure Strant Status: Pending Remarks: WLB | Information | 020651 Disclosure Strant Title: INK JET PRINTER | X | 3344 US MS A TAKEUCHI INT'L PATENT OFFICE | IT OFFICE | 150,50 | 3/10 20-May-2002 ext > 8-22 m |

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| 21-Aug-2002 Due Date | NEW PLANT APPLN 12-May-1999 C/UP Status: Unfiled Titl Remarks: NES (CROSS REF. 000557) | 12-May-1999 020 NEW PLANT APPLN Title: SEI | Title: SENPOY (ANGELICA KEISKAEI KOIDZUMI) | 3285 HIRAKI A ICA KEISKAE | 3285 US KH NES HIRAKI AND ASSOCIATES KEISKAEI KOIDZUMI) | VES | YP chul W Niales | | <u>ر</u> د د |
| 21-Aug-2002 Due Date | NEW APPLICATION 12-Jul-2002 12002 1195 US DWH A YP NEW APPLICATION SAITOH, YUKIHIKO Status: Unfiled Title: LOW CONTAMINATHYE HOSE AND RUBBER COMPOSITIO THE SAME Remarks: DWH SENT REWRITE 7-19-02; HAVE WE RECD APPLN DOCMTS? DKTG NEEDS INFO | 12-Jul-2002 - 020 NEW APPLICATION Title: LO TREWRITE 7-19,02; H | CATION CATION CATION SAITOH, YUKIHIKO Title: LOW CONTAMINATHYE HOSE AND RUBBER COMPOSITION FOR USE IN MAKING THE SAME -19.02; HAVE WE RECD APPLN DOCMTS? DKTG NEEDS INFO | SAITOH, SAITOH, ATHVE HOSE | SAITOH, YUKIHIKO VEHOSE AND RUBBER PPLN DOCMTS? DKTG | HA YP FR COMPOS TG NEEDS IN | ON FO | W Ext 8-29-22 BRUSE IN MAKING | 195 |
| 21-Aug-2002 Due Date | NEW APPLICATION 22-Aug-2001 020 NEW APPLICATION Status: Unfiled Remarks: KH; APPLN DEADLINE 8-22-02 | 22-Aug-2001 020-NEW APPLICATION Title: DA | CATION WILLFORT INTERNATIONAL WILLFORT INTERNATIONAL ITIE: DATABASE MANAGEMENT SYSTEM AND DATABASE 8-22-02 | 3453 WILLFOR AGEMENT SY | 3453 US KH H Y WILLFORT INTERNATIONAL | H YP THONAL | (141) | F. 8(31(02) W(105) | 7 7 . 7 |
| 21-Aug-2002 Due Date | REWRITE DUE 03-Sep-2001 021010 NEW-APPLICATION Status: Unfiled Title: EXHAU Remarks: WLB TO DO REWRITE: DUE 8-29-02; | 03-Sep-2001 02 NEW APPLICATION Title: EX O REWRITE: DUE 8-2 | 🐼 | DCHIAI & CO. PGAS VALVE DEVICE IN I APPLN DEADLINE 9-3-02 | US WLB CO. | NAL COMBU | STION ENGIN | e suct 8-20 mg | nula |

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| 21-Aug-2002 Due Date | NEW PCT NAT'L PHASE Status: Unfiled Remarks: JEA; 30 M | NEW PCT NAT'L 21-Mar-2000 021013 PHASE NEW PCT NAT'L (30 MO) Status: Unfiled Title: SEMPC Remarks: JEA; 30 MO DEADLINE 9-24-02 | WAK ONDUCTOR SUBST | ATL (30 MO) WAKO PURE CHEMICAL INDUSTRIES Title: SEMICONDUCTOR SUBSTRATE CLEANING AGENT AND CLEANING METHOD 9-24-02 | A YP AL INDUSTR AGENT ANI | UES O CLEANING | Get to 8/27/0- | عا/هد. لاهد. |
| 21-Aug-2002 Due Date | NEW PROVISIONAD APPLN Status: Unfiled Remarks: DWH | 06-Aug-2002 021024 NEW PROVISIONAL APPLN Title: TRIGGER DEVICE | DEVICE | PHENOM | | 2 | need | W |
| 21-Aug-2002 Due Date | NEW PROVISIONAL APPLN Status: Unfiled Remarks: DWH | 06-Aug-2002 021027 NEW PROVISIONAL APPLN Title: TOUCHAN | D THROW | PHENOM DEWICE | 68 | 3 | ella | \ 1, |
| 21-Aug-2002 Due Date | NEW PROVISIONAL APPLN Status: Unfiled Remarks: DWH | 06-Aug-2002 021028 NEW PROVISIONAL APPLN Title: BAT DIRE | CTOR DEV | 7907 US DWH PHENOM ICE | \ \alpha \ | 3 | Jan Jan | · · · · · · · · · · · · · · · · · · · |
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| 21-Aug-2002 Due Date | NEW APPLICATION 06-Sep-2001 021072 3866 US JEA A YP (CS) 4 | 1 10 20 10 A 10 A |
| | DATANG FLUID FOR PRINTING PLATES AND METHOD OF MAKING A I ATE LN DEADLINE 9-6-02 | UNTING |
| 21-Aug-2002 Due Date | NEW APPLICATION 23-Aug-2001 021075 3386 US JEA A YP (pA!) | r. Blallor |
| 1 | ATA PROCESSING I | |
| 21-Aug-2002 Due Date | NEW APPLICATION 31-Jan-2002 021080 3806 US JEA A YP () 10J (MI) Status: Unified Title: SEMACONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF S Remarks: JEA; TO BE FILED ASAP (ACTUAL DEADLINE 1-31-09) | -mh/498 -19/16/3 |
| 21-Aug-2002 Final | US-NOT. APPEAL 21-Feb-2002 960390RI RI 1151 US DWH A 564,896/09 05 EXT 3 US-NOT. APPEAL ITAMI PATENT OFFICE Status: Pending Title: CONTINUOUS SHEET HAVING OPTICAL FUNCTIONS Remarks: REQST RECONS FILED 5-21-02 | 25-May-2000 |
| 21-Aug-2002 Final | 6 MO RESPONSE 21-Feb-2002 960638 0297 US NES_H 569,423/08 06 FINAL US-3 MO. ACTION NISHIZAWA & ASSOCIATES Status: PENDING Title: ANTIMEPATITUS AGENT | 06-Dec-1995 |
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| 21-Aug-2002 Due Date | DRWGS 3 WK CALL- 17-Jun-2002 UP US-ALLOW Status: Published Remarks: DUE 9-17-02 | | ABILITY YAMADA PATENT OFFIC TILE: CODEMULTIPLEXING/READING APPARATUS | YAMAD YAMAD | 0341 US TEB A YAMADA PATENT OFFICE G/READING APPARATUS | 917,749/08 | 27-Aug-1997 Juled 8/14/03 | 1 8 |
| 21-Aug-2002 Due Date | 3 MO RESPONSE & IDS Status: PENDING | 21-May-2002 US-3 MO ACTION Title: | TION Title: DIGITAL CAMERA | 0341 YAMAD | 0341 US WLB A YAMADA PATENT OFFICE | 166,911/09 | 06-Oct-1998 | 4 |
| 21-Aug-2002 Due Date | 3 MO RESPONSE Status: PENDING | 21-May-2002 US-3 MO ACTION Title: | 981498 DN e: AIR-CLEANING AP | AZUSA I | 217,2 CTION AZUSA PATENT OFFICE Title: AIR-CLEANING APPARATUS AND AIR-CLEANING METHOR | 60/00; | 22-Dec-1998 | |
| 21-Aug-2002 Due Date | PUBLN FEE CALL-UP 28-May-2002 US ALLOWA Status: Published T Remarks: DUE 8-28-02 | P 28-May-2002 US ALLOWANCE Title: | 990225 CE e: RESIST COMPOSIT | 4. AOKI | Ance Ance Ance Andri, ISHIDA & ASSOCIATES Title: RESIST COMPOSITION AND PATTERN FORMING PROCESS | 288,781/09 | 09-Apr-1999 | 100 |
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| 21-Aug-2002 Due Date | SEND LTR TO CLT Status: PENDING | 14-Aug-2002 990295 ADVISORY ACTION Title: COMMODITY INFOR | ACTION SAKAI INT'L PATENT OFFICE Title: COMMODITY INFORMATION MANAGEMENT SYSTEM | 282,450 | 31-Mar-1999 | |
| 21-Aug-2002 Due Date | SEND LTR TO CLT Status: PENDING | 01-Aug-2002 990351 ADVISORY ACTION Title: JOURNAL FORM MAI | 990351 3340 US TEB A 280,691/09 ACTION SANADA & ASSOCIATES Title: JOURNAL FORM MANAGING METHOD, TRANSACTION PROCESSING APPARATUS, AND TRANSACTION RECORD JOURNAL FORM | 280,691/09 ON PROCESSING | 109 30-Mar-1999 SSING Dent 8/21/03 | 60/16 |
| 21-Aug-2002 Final | ISSUE FEE FINAL Status: PENDING Remarks: DUE 8-21-02 | 11-May-2005 JS ALLOW, | ANCE NAPHTHALENE DERIVATIVE AND LIQUID CRYSTAL COMPOSITION COMPRISING | 296,550/09 | 22-Apr-1999 6-2/val | 1 |
| 21-Aug-2002 Due Date | NEW DIVISIONAL APPLN Status: Unfiled | 20-Aug-2002 990451 A NEW DIVISIONAL APPLN Title: NAPHYHALENE DERJ COMPRISING | 10NAL APPLN A 0810 US SGA A 10NAL APPLN NGB CORPORATION Title: NAPHZHALENE DERIVATIVE AND LIQUID CRYSTAL COMPOSITION COMPRISING | COMPOSITION | The state of the s | |
| 21-Aug-2002 Due Date | SEND LTR PO CLT | 13-Aug-2002 990534 7133 ADVISORY ACTION MO | 7135 US NSB H MOGAMI PATENT OFFICE | 323,694/09 | 02-Jun-1999 | 8ent 8(21/0 |
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| 21-Aug-2002 Due Date | SEND LTR TO CLT Status. Published | 14-Aug-2002 990870 ADVISORY ACTION Title: MANUFACTURI | 3295 HATTORI NG METHOD F | 990870 ACTION HATTORI & ASSOCIATES (TOKYO) Title: MANUFACTURING METHOD FOR MULTILAYER PRINTER CIRCUIT-BOARD | 377,067/09 | ug-1999 | extend to |
| 21-Aug-2002 Due Date | NEW DIVISIONAL APPLN Status: Unfiled | NEW DIVISIONAL APPLIN Title: CONTACTOR FO | ITOH INT ITOH INT OR, AND A ME | 990882 A 0353 US NSB A ROPLY OFFICE TOOH INT'L PATENT OFFICE CONTACTOR FOR SEMICONDUCTOR DEVICES, A TESTING APPARATUS USING SUCH CONTACTOR, AND A METHOD OF CLEANING SUCH CONTACT | STING APPARA'SUCH CONTACT | US USING | Section of the sectio |
| 21-Aug-2002 Due Date | CHAPTER II - 1 WK C/UP Status: Perding Remarks: AWAITIN | CHAPTER II - 1 WK 29-Feb-2000 991167PCT C/UP Status: Perding Title: PAPBR EASEL Remarks: AWAITING FOR CLT'S INSTRU'S TO FILE | 3624 WO W DUFF, GLEN (Mr.) | WO WFW WH EN (Mr.) | US01/04934 | 28-Feb-2001 OK: 8-33-02 | 6.5 |
| 21-Aug-2002 Due Date | FINAL OA - 3-MO RESPONSE Status: PENDING | 21-May-2002 991403 3596 US-FINAL 3 MONTH KOB Title: INFRARED MICROSCOPE | 3596 KOBAYAS | 3596 US NSB F 450 KOBAYASI PATENT & TRADEMARK | 456,530/09 ARK | 08-Dec 1999 | ext. |
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| | Status: Unfiled Trademark: OPPOSITION RE: DOLLFUS v. DMC Remarks: (3RD) 45 DAY EOT FILED 7-22-02; ANSWER TO OPPOSITION NOW DUE *9-7-02* | Tradema DAY EOT FILED | Trademark: OPPOSITION RE: DOLLFUS v_PMC IT FILED 7-22-02; ANSWER TO OPPOSITION NO | TON RE: DC | PEOSITION | ∂MC √ NOW DUE | 3 *9-7-02* | 2.12 | ~ |
| 21-Aug-2002 Due Date | 3 WK CALL-UP | 13-Mar-2002 0007 PRIORITY ACTION | 000000 VOIT | | 7858 CABINE | 7858 US NES CABINET PLASSERAUD | AUD | 167,593/76 | 16-Nov-2000 |
| | Status: Pending Trademark: C Remarks: RESPONSE DEADLINE 9-13-02 | Tradema SE DEADLINE 9- | Trademark: COLOR CHART, COLOR (DESIGN) DLINE 9-13-02 | HART, COI | OR (DESIC | (NE | | | マド 31/ 120 |
| 21-Aug-2002 Due Date | SUSPENSION CALL- 21-Jan-2002 UP SUSPENSIO | 21-Jan-2002 SUSPENSION | 010097 | | 5319 OKADA | 5319 US MSA DH OKADA & COMPANY (OSAKA) | DH IY (OSAKA) | 221,046/76 | 08-Mar-2001 |
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| 21-Aug-2002 Due Date | RESPONSE CALL-UP 26-Feb-2002 FINAL REJE | JP 26-Feb-2002 0105 FINAL REJECTION | 010512 TION | | 3736 TAKENA | 3736 US KH H TAKENAKA PATENT OFFICE | H T OFFICE | 241,393/76 | 17-Apr-2001 |
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CARD NO: 20229

U.S. Patent Application Serial No: 09/313,764 Docket No: 990527 Filed: 05/18/99 Issued:

Patent Number: Issue

Applicant(s): KURAMATA, et al.

Papers filed herewith on: 02/05/03

Other: Req. for Withdrawl of Holding About the w/copy
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COMPLETE OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above-identified case. SGA/ARF